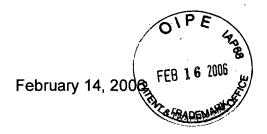
# Bereskin & Farr INTELLECTUAL PROPERTY LAW





Victor Krichker B.Sc. (Civ. Eng.), LL.B. 416 957 1699 vkrichker@bereskinparr.com

Your Reference: 10/534,028 Our Reference: 12916-11

# **RESPONSE TO NOTIFICATION** OF MISSING REQUIREMENTS

Mail Stop Missing Parts The Commissioner of Patents P.O. Box 1450 Alexandria, Virginia U.S.A. 22313-1450

Dear Sir:

United States Utility Patent Application No: 10/534,028 Re:

For: PHARMACEUTICAL DELIVERY SYSTEMS AND METHODS FOR USING

SAME

Filing Date: May 6, 2005

Applicant: David L. Reynolds

The Applicant acknowledges receipt of the Notification of Missing Requirements, which was mailed on December 16, 2005. At this time, the Applicant encloses herewith the following:

- 1. Return receipt card;
- 2. Transmittal Form:
- 3. Fee Transmittal;
- 4. Copy of the Notification of Missing Requirements; and
- 5. Executed Declaration.

We enclose our cheque in the amount of \$130.00, which comprises the following:

Surcharge fee

\$130.00

Total

\$130.00

If any additional fees are required, please withdraw them from our deposit account no. 02-2095 or credit any surcharge thereto.

02/21/2006 GFREY1 00000049 10534028

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Scotia Plaza, 40 King Street West, 40th Floor, Toronto, Ontario, Canada M5H 3Y2 Tel: 416.364.7311 Fax: 416.361.1398 www.bereskinparr.com

TORONTO MISSISSAUGA WATERLOO MONTRÉAL

In view of the foregoing, it is respectfully submitted that filing of this application is now complete.

Respectfully submitted,

**DAVID REYNOLDS** 

Victor Krichker

Registration No. 50,198

/tc

Encls.

U.S. Patent and Tradema Ce: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTALE TORM		Application Number	r	10/534,028			
		Filing Date		May 6, 2005			
		First Named Invent	or	David L. Re	eynolds		
\_	FEB 1 6 2006 E	Art Unit		n/a			
(to be used for all correspondence all engineering the same and the same all correspondence all the same all		Examiner Name		n/a			
Total Number of Pages in This		Attorney Docket Nu	mber	12916-86			
ENCLOSURES (check all that apply)							
Fee Transmittal Form	☐ Drawing(s	s)		After Allo	wance Communication to TC		
□ Fee Attached	Licensing	Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences			
Amendment / Reply	Petition	Petition		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)			
After Final		Petition to Convert to a Provisional Application		Proprietary Information			
		er of Attorney, Revocation nge of Correspondence Address		Status Letter			
Extension of Time Request		Disclaimer		Other En	nclosure(s) entify below):		
	Request f	Request for Refund		Letter to USF			
Express Abandonment Request		CD, Number of CD(s)		Return receip Executed De			
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Certified Copy of Priority Document(s)	Remarks	Remarks					
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under 37 CFR1.52 or							
	SIGNATURE OF	APPLICANT, ATTOR	RNEY, OF	RAGENT			
Firm							
Signature	Signature M						
Printed Name	Victor Krichker	Victor Krichker					
Date	February 14, 20	006	Reg. No.	50,198			
	CERTIFICA	TE OF TRANSMISS	ION/MAII	LING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.							
Signature							
Typed or printed name				Date			

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (12-04)

Approved for use through 07/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE ersons are required to respond to a collection of information unless it displays a valid OMB control number Under the Panerwork Effective on 12/08/2004. Complete if Known Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). Application Number 10/534,028 TRANSMIT Filing Date May 6, 2005 For FY 2005 David L. Reynolds First Named Inventor **Examiner Name** Applicant claims small entity status. See 37 CFR 1.27 Art Unit n/a TOTAL AMOUNT OF PAYMENT 130.00 Attorney Docket No. 12916-86 METHOD OF PAYMENT (check all that apply) Credit Card Money Order None Other (please identify): 022095 Bereskin & Parr ✓ Deposit Account Deposit Account Number: Deposit Account Name: For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayments of fee(s) Credit any overpayments under 37 CFR 1.16 and 1.17 WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card Information and authorization on PTO-2038. **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **FILING FEES EXAMINATION FEES** SEARCH FEES **Small Entity Small Entity Small Entity** Fee (\$) Fees Paid (\$) **Application Type** Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) Utility 300 200 150 500 250 100 200 Design 100 100 50 130 65 200 Plant 100 300 150 160 80 300 150 500 250 600 300 Reissue

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ı	Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent	50	25			
ı	Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent	200	100			
	Multiple dependent claims	360	180			
	Total Claims					
	20 or HP =0 x0.00 =0.00 Fee (\$) Fee Paid (\$	)				
	HP = highest number of total claims paid for, if greater than 20					
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1	3. APPLICATION SIZE FEE					
ı	If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity					

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If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$ for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets Ex		Number of each additional 50 or fraction there		Fee Paid (\$)
- 100 =	0 / 50 =	(round up to a whole number)	х	=0
4. OTHER FEE(S)				Fees Paid (\$)
Non-English Specification	on. \$130 fee (r	o small entity discount)		

Other: Surcharge (late submission of declaration)

200

100

Provisional

Fee Description

2. EXCESS CLAIM FEES

130.00

**Small Entity** Fee (\$)

SUBMITTED BY Registration No. 50,198 Telephone (416) 364-7311 Signature (Attorney/Agent) Date February 14, 2006 Name (Print/Type) Victor Krichker

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete. including gathering, preparing, and submitting the completed application from to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PRIORITY DATE

11/08/2002



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Dox 1450 Alexandra, Virginia 22313-1450 www.uupto.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO. 10/534,028 12916-86

David L Reynolds

INTERNATIONAL APPLICATION NO.

PCT/CA03/01713

1059 I.A. FILING DATE BERESKIN AND PARR 11/07/2003 40 KING STREET WEST **BOX 401** TORONTO, ON M5H 3Y2 CANADA

**CONFIRMATION NO. 3363 371 FORMALITIES LETTER** 

\*OC000000017635226\*

Date Mailed: 12/16/2005

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 05/06/2005
- Copy of the International Search Report filed on 05/06/2005
- Information Disclosure Statements filed on 08/26/2005
- U.S. Basic National Fees filed on 05/06/2005
- Priority Documents filed on 05/06/2005
- Specification filed on 05/06/2005
- Claims filed on 05/06/2005
- Abstracts filed on 05/06/2005
- Drawings filed on 05/06/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

## • '30 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

#### KAREN R MCLEAN

Telephone: (703) 308-9140 EXT 214

### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.	
10/534,028	PCT/CA03/01713	12916-86	

FORM PCT/DO/EO/905 (371 Formalities Notice)